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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/886,942	
	Filing Date	June 21, 2001	
	First Named Inventor	Juha Punnonen	
	Group Art Unit	1645	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	58	Attorney Docket Number	02-031910US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Sequence Listing materials	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice Brief Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	receipt acknowledgment postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
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<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Authorization to Charge Deposit Account</b> Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks <input type="text"/>	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Emily M. Haliday, Reg. No. 38,903, The Law Offices of Jonathan Alan Quine
Signature	<i>Emily M. Haliday</i>
Date	Sept. 12, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date <u>7-12-01</u>			
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LAW OFFICES OF JONATHAN ALAN QUINE

By

Trace Brooks  
Trace Brooks

Attorney Docket No. 02-031910US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Juha Punnonen, et al.

Application No.: 09/886,942

Filed: June 21, 2001

For: NOVEL CHIMERIC PROMOTERS

Examiner: Unassigned

Art Unit: 1645

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants respectfully request that the following Preliminary Amendment be considered prior to examination of the above-cited application. Per 37 CFR 1.115(b)(2), this preliminary amendment is being submitted no later than three months from the filing date of the above-identified application.

**AMENDMENT**

IN THE SPECIFICATION

Please enter the Sequence Listing into the application.

IN THE CLAIMS

Please amend the claims to as follows, without prejudice. **Per the requirements of 37 C.F.R. § 1.121, the following claims are to be substituted for the corresponding previously pending claims of the same number(s). A marked up version showing the changes to the claims, is attached herewith.**